

## FINSA Client information

Based on the legal requirements of Art. 8ff. of the Financial Services Act (FinSA), we would like to supply you with this information sheet which provides an overview of B&P Beerli & Partner AG (hereinafter referred to as the «financial institution») and its services.

### A. Company information

#### Address

Street:	Kohlrainstrasse 1
Zip Code/City:	CH-8700 Kusnacht ZH
Phone:	+41 44 914 70 50
Email:	info@beerlipartner.ch
Website:	www.beerlipartner.ch

The financial institution was established in 1998.

#### Supervisory authority and audit firm

The financial institution has been licensed as an asset manager since 22.04.2022 and is therefore subject to prudential supervision by the Swiss Financial Market Supervisory Authority FINMA. Within the scope of this supervision, the financial institution is audited and reviewed by the audit firm FINcontrol Suisse AG in terms of supervisory law. The address of FINMA and FINcontrol Suisse AG can be found below.

Eidgenössische Finanzmarktaufsicht FINMA  
Laupenstrasse 27  
3003 Bern  
Phone: +41 31 327 91 00  
Email: info@finma.ch  
Website: www.finma.ch

FINcontrol Suisse AG  
General-Guisan-Strasse 6  
CH-6300 Zug  
Phone: +41 41 763 28 20  
Email: info@fincontrol.ch  
Website: [www.fincontrol.ch](http://www.fincontrol.ch)

#### Ombudsman

The financial institution is affiliated with the independent ombudsman Finanzombudsstelle Schweiz (FINOS), which is recognized by the Federal Department of Finance. Disputes concerning legal claims between the customer and the financial services provider should be settled by an ombudsman's office, if possible, within the framework of a mediation procedure. The address of Finanzombudsstelle Schweiz (FINOS) is stated below.

Name Ombudsman:	Finanzombudsstelle Schweiz (FINOS)
Address:	Talstrasse 20
Zip code / State:	CH-8001 Zurich
Phone:	+41 44 552 08 00
E-Mail:	info@finos.ch
Website:	www.finos.ch

## **B. Information on the offered financial services**

The financial institution provides asset management and trustee services to its clients.

The financial institution does not provide financial services in collective investment schemes.

The financial institution does not guarantee any yield nor performance of investment activities. The investment activity can therefore lead to an appreciation in value and to a depreciation in value.

The financial institution has the necessary licenses to perform the above services.

## **C. Client segmentation**

Financial service providers are required to classify their customers into a client segmentation as legally established. The Financial Services Act provides for «retail customers», «professional customers» and «institutional customers» segments. The financial institution classifies all clients as "retail customers", which means that clients have the highest level of client protection.

## **D. Information on risks and costs**

### **General risks associated with financial instruments transactions**

The asset management services involve financial risks. The financial institution shall provide all clients with the «Risks associated with Financial Instruments Transactions» brochure prior to the execution of the contract. This brochure can also be found at [www.swissbanking.org](http://www.swissbanking.org) or at [www.beerlipartner.ch](http://www.beerlipartner.ch).

Clients of the financial institution may contact their client advisor at any time if they have any further questions.

### **Risks associated with the offered services**

For a description of the various risks that may arise from the investment strategy for clients' assets, please refer to the relevant asset management agreements.

### **Information on costs**

A fee is charged for the services rendered, which is usually calculated on the assets under management and/or on a performance basis. For more detailed information, please refer to the relevant asset management agreements.

### **E. Information about relationships with third parties**

In connection with the financial services offered by the financial institution, economic ties may exist with third parties. The acceptance of payments from third parties and their treatment shall be regulated in detail and comprehensively in the respective asset management agreements.

### **F. Information on the market offer considered**

The financial institution basically follows an «open universe approach» and tries to make the best possible choice for the client when selecting financial instruments.